Iron-Based Superconductors as Odd-Parity Superconductors

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Parity is a fundamental quantum number used to classify a state of matter. Materials rarely possess ground states with odd parity. We show that the superconducting state in iron-based superconductors is classified as an odd-parity *s*-wave spin-singlet pairing state in a single trilayer FeAs/Se, the building block of the materials. In a low-energy effective model constructed on the Fe square bipartite lattice, the superconducting order parameter in this state is a combination of an *s*-wave normal pairing between two sublattices and an *s*-wave η pairing within the sublattices. The state has a fingerprint with a real-space sign inversion between the top and bottom As/Se layers. The results suggest that iron-based superconductors are a new quantum state of matter, and the measurement of the odd parity can help to establish high-temperature superconducting mechanisms.

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I. INTRODUCTION

Symmetry plays the central role in the search for beauty in physics. It controls the structure of matter and allows us to simplify a complicated problem. The gauge principle is a fundamental principle in physics. Models formulated in different gauge settings are equivalent. Symmetry and gauge principles together provide the foundations of modern physics that allow us to solve complicated problems.

The recently discovered iron-based high-temperature superconductors (high T_c) [1–3] are layered materials with complicated electronic structures. Their complexity causes a major difficulty in understanding pairing symmetry, which arguably is the most important property and clue to determine the pairing mechanism [4,5].

In a strongly correlated electron system, major physics is determined locally in real space. Important properties, such as pairing symmetry in a superconducting state, are expected to be robust against small variations of Fermi surfaces in reciprocal space. Although the superconducting mechanism related to high-temperature superconductors (high T_c) has not yet been determined, the robust *d*-wave pairing symmetry in cuprates [6] can be understood under this principle.

Does this principle still hold for iron-based superconductors? Namely, do all iron-based superconductors possess one universal pairing state? Unlike cuprates, the answer to this question is highly controversial because different theoretical approaches have provided different answers and no universal state has been identified [5]. Nevertheless, as local electronic structures in all families of iron-based superconductors are almost identical and phase diagrams are smooth against doping [4,5], it is hard to argue that the materials can approach many different superconducting ground states.

According to conventional wisdom, there are several obvious requirements regarding electron pairing in superconducting states. First, pairing symmetry is known to be classified according to lattice symmetry. Second, in a uniform superconducting state, the total momentum for the Cooper pairs (modulo a reciprocal lattice vector) must vanish. Finally, for a central symmetric lattice with a space-inversion center, the parity of superconducting order parameters is normally even for a spin-singlet pairing and odd for a spin-triplet pairing [7]. These requirements are easily fulfilled in a system with a simple electronic structure, such as cuprates. However, for iron-based super-conductors, they are highly nontrivial.

The unit cell in iron-based superconductors is intrinsically a 2-Fe unit cell, while, for simplicity, most theoretical models are effectively constructed based on a 1-Fe unit cell [8–13]. Obviously, these effective models have different lattice symmetry than the models defined on the original lattice. The difference may cause serious problems. For example, in the effective models, the pairings have been limited to two electrons with opposite momentum $(\vec{k}, -\vec{k})$, which we call normal pairing in this paper, where \vec{k} is the momentum defined with respect to the 1-Fe unit cell in an iron-square lattice. The momentum vector $Q = (\pi, \pi)$ is a reciprocal lattice vector in the original lattice with a 2-Fe unit cell. Thus, the pairings $(\vec{k}, -\vec{k} + Q)$, in principle, are also allowed according to the second requirement above. We will refer to this pairing channel as an extended η pairing [14,15] and simply call it η pairing in this paper. The possible existence of η pairing was discussed in simplified models [16–18]. As order parameters are classified differently under different symmetry groups, we

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need to understand these orders under the original lattice symmetry. Otherwise, conservation laws could be violated.

In this paper, we show that the superconducting state in iron-based superconductors is a new state of matter that is classified as an odd-parity s-wave spin-singlet pairing state in a single trilayer FeAs/Se, which is the building block of the materials. Provided that essential physics stems from d-p hybridization, the superconducting states that were proposed in the past based on the effective d-orbital models of an iron-square lattice are not parity eigenstates. Namely, parity conservation is violated. We show that the superconducting state that conserves parity includes both normal pairing between two sublattices of the iron-square lattice and η pairing within each sublattice. The states have a fingerprint with a real-space sign inversion between the top and bottom As/Se layers. Our derivation is based on general symmetry and gauge requirements on the effective models for iron-based superconductors.

In the following, we first provide a complete symmetry analysis for pairing symmetries in iron-based superconductors. While the pairing symmetries can be classified according to D_{2d} -point groups at iron sites or C_{4v} -point groups at the center of an iron square, there are two types of pairing symmetries for a spin-singlet pairing state because of the intrinsic 2-Fe unit cell. They are distinguished from each other by opposite parity numbers. Second, we discuss the hidden symmetry properties of the effective models under the original lattice symmetry. We show that the effective hopping terms between two sublattices and within each sublattice have different symmetry characters. Third, we discuss a general gauge principle related to the definition of pairing symmetries and conclude that parity conservation was violated in the past. We provide the mean-field Hamiltonian in the new superconducting state and show that it can provide a unified description of all families of iron-based superconductors, including both iron-pnictides [4] and iron-chalcognides [19–22]. Fourth, we discuss the smoking-gun experiments that can reveal the parity of the superconducting state. Finally, we discuss the fundamental impact on the high- T_c superconducting mechanism, if it is confirmed.

Before we start the main part of this paper, we first clarify the gauge setting for the effective models that were constructed based on all five iron *d* orbitals [8–10]. In those effective models with a 1-Fe unit cell, a new gauge setting is taken [8–10], which effectively changes the momentum \vec{k} to $\vec{k} + Q$ for d_{xz} and d_{yz} orbitals. In the following, without further clarification, the momentum \vec{k} used in the definition of our normal pairing $(\vec{k}, -\vec{k})$ and η pairing $(\vec{k}, -\vec{k} + Q)$ is the same momentum used in those papers, rather than the momentum in a natural gauge setting in which Wannier functions of each orbital at Fe sites are translationally invariant with respect to 1-Fe unit cells and have the same symmetry classification as atomic *d* orbitals.

II. SYMMETRY OF A SINGLE FE-AS(SE) TRILAYER

Iron-based superconductors are layered materials. The essential electronic physics is controlled by a single trilayer Fe-As(Se) structure, which is the building block of the superconductors. Although the coupling along the c axis between the building blocks has many interesting effects, the superconducting mechanism and the fundamental properties of the superconducting states, such as pairing symmetries, are expected to be solely determined within the single building block. The observation of superconductivity in a single FeSe layer grown by molecular beam epitaxy has further justified this two-dimensional nature [20–22]. Therefore, we first focus on the study of a single Fe-As(Se) trilayer structure.

We start our analysis by understanding the lattice symmetry. As shown in Fig. 1, the structure has an inversion center, the origin, located in the middle of each Fe-Fe link. The unit cell with its origin at the center is marked by the shadowed area, which includes two iron and two As/Se atoms. We denote T as the translation group with respect to the unit cell. The symmetry group is thus described by a nonsymmorphic space group G = P4/nmm [23]. The quotient group G/T is specified by 16 symmetry operations that include a space inversion \hat{I} . It is easy to check that these operations can be specified equivalently as $C_{4\nu} \oplus$ $\hat{I}C_{4v}$ or $D_{2d} \oplus \hat{I}D_{2d}$, where C_{4v} is the point group with respect to the point in the middle of an iron square and D_{2d} is the point group defined at an iron site. It is important to note that neither C_{4v} nor D_{2d} is defined with respect to the inversion center. Therefore, some symmetry operations in C_{4v} or D_{2d} are nonsymmorphic. For example, the \hat{C}_4 rotation operation in C_{4v} is equivalent to (\hat{C}'_4, \hat{t}_2) , which represents \hat{C}'_4 , a rotation $\frac{\pi}{2}$ along the z axis at the inversion center, followed by a translation operation \hat{t}_2 that translates



FIG. 1. Sketch of the lattice structure of a trilayer Fe-As(Se) unit. Notations used in this paper for axis directions, reflection symmetries, and two sublattices are noted.

 $(\frac{1}{2}, \frac{1}{2}, 0)$ in the coordinate of an iron-square lattice, which is half of a unit lattice cell along the *X* direction in Fig. 1.

In summary, the full symmetry group can be written as

$$G/T = Z_2 \otimes D_{2d} = Z_2 \otimes C_{4v}, \tag{1}$$

where $Z_2 = (\hat{E}, \hat{I})$. The group is a direct product of two subgroups that are defined with respect to different operation centers. \hat{I} commutates with all symmetry operations in D_{2d} or C_{4v} , in the sense that operations are considered to be identical if they only differ by a lattice transition with respect to the 2-Fe unit cell.

III. PARITY AND PAIRING SYMMETRY CLASSIFICATION

The pairing symmetry of a translation-invariant superconducting state is classified by the irreducible representations (IRs) of G/T. If we ignore spin-orbital coupling, the ground state is expected to be a parity eigenstate. Since spin-singlet pairing is overwhelmingly supported experimentally in iron-based superconductors [4,5], we focus on spin-singlet pairing states.

Conventionally, for a spin-singlet pairing state, the parity is even. However, because of the unit cell doubling, the parity operation here essentially takes mapping between two sublattices, A and B, in the iron-square lattice, as shown in Fig. 1. Therefore, there is no parity constraint for the pairing within each sublattice governed by D_{2d} . Thus, for each IR of D_{2d} , there are two different pairing states with opposite parities. The IRs of G/T are direct products of the IRs of two subgroups.

The character table of the D_{2d} group is shown in Table. I. There are five different IRs, with four being one dimensional (A and B) and one being two dimensional (E). Let us consider the symmetry operation $\hat{S}_4^2 = \hat{S}_4 \times \hat{S}_4$ in D_{2d} . It is easy to show that

$$\hat{I}\hat{S}_{4}^{2} = (\hat{\sigma}_{h}, \hat{t}_{2}^{\prime}), \qquad (2)$$

where $\hat{\sigma}_h$ is the reflection along the *z* axis and \hat{t}'_2 is an inplane translation by (1, 0, 0), namely, one iron-iron lattice distance. Equation (2) leads to an extremely important conclusion: The parity is determined by the eigenvalues of the operation $(\hat{\sigma}_h, \hat{t}'_2)$. It is equal or opposite to the eigenvalues for one-dimensional (*A* and *B*) or twodimensional (*E*) IRs, respectively, because $\hat{S}_4^2 = 1$ for one-dimensional IRs and $\hat{S}_4^2 = -1$ for two-dimensional

TABLE I. Character table for D_{2d} point group.

	Ε	$2S_4$	$C_2(z)$	$2C'_2$	$2\sigma_d$	Linear, rotations	Quadratic
$\overline{A_1}$	1	1	1	1	1		$x^2 + y^2, z^2$
A_2	1	1	1	-1	-1	R_z	
B_1	1	-1	1	1	-1		$x^2 - y^2$
B_2	1	-1	1	-1	1	Z	xy
E	2	0	-2	0	0	$(x, y) (R_x, R_y)$	(xz, yz)

IRs. More specifically, this result leads to the conclusion that the pairing is translationally invariant with respect to a 1-Fe unit cell in the *A* or *B* state when the parity is even and in the *E* state when the parity is odd. The η pairing takes place in the *A* or *B* state with odd parity and in the *E* state with even parity.

The above classification is independent of the number of orbitals and orbital characters as long as we have the gauge setting specified for d_{xz} and d_{yz} as mentioned earlier.

It is also important to note that the classifications with respect to D_{2d} at iron sites or C_{4v} at the center of iron squares are equivalent, in the sense that they can be mapped to each other. C_{4v} has the same number and type of IRs as D_{2d} . We notice the following important relation,

$$\hat{S}_{4}^{3} = \hat{I}\hat{C}_{4},$$
 (3)

where \hat{C}_4 is the $\pi/2$ rotation operation in C_{4v} . For onedimensional IRs in D_{2d} , the above equation reduces to $\hat{S}_4 = \hat{I}\hat{C}_4$. Therefore, for parity-even pairing, namely, normal pairing, there is no difference whether states are classified according to D_{2d} or C_{4v} since $\hat{S}_4 = \hat{C}_4$. Namely, a normal pairing state has the same IRs with respect to both C_{4v} and D_{2d} . For parity-odd η pairing, $\hat{S}_4 = -\hat{C}_4$, which implies that an *s*-wave state classified by *A* IRs in D_{2d} must become a *d*-wave state classified by *B* IRs in C_{4v} . For example, an η -pairing A_1 *s*-wave state classified in D_{2d} belongs to the B_2 *d* wave in C_{4v} . Therefore, for an η -pairing parity-odd state, the name of the state depends on how it is classified. For the above example, one can either name the η -pairing state as a B_{2u} *d* wave or an A_{1u} *s* wave, depending on the classification point groups C_{4v} or D_{2d} , respectively.

An odd-parity superconducting state must have a sign change between the top and bottom As/Se layers. However, this information is hidden in an effective model with only *d* orbitals constructed on an iron-square lattice. From the above symmetry analysis, we can track the parity information simply by using $\hat{\sigma}_h$. Although $\hat{\sigma}_h$ is not a symmetry operation for a single Fe-As(Se) trilayer, the η -pairing state can be viewed as a state with an internal negative isospin defined by $\hat{\sigma}_h$. For any normal pairing, $\hat{\Delta}^n$ and η -pairing $\hat{\Delta}^{\eta}$ order parameters that belong to onedimensional IRs of D_{2d} , we have

$$\hat{\sigma}_h \hat{\Delta}^n \hat{\sigma}_h = \hat{\Delta}^n, \tag{4}$$

$$\hat{\sigma}_h \hat{\Delta}^\eta \hat{\sigma}_h = -\hat{\Delta}^\eta. \tag{5}$$

We can extend the above discussion for order parameters in a bulk material. There are two different lattice structures along the c axis in iron-based superconductors, the 11 type [which includes 111(NaFeAs) and 1111(LaOFeAs) structures] and the 122 type, where the 11 type is translationally invariant along the c axis while the 122 type is not. For the 11 type, we can simply extend the above analysis to the nearest-neighbor (NN) interlayer pairing. For evenparity order parameters, we have two possible terms: $(\vec{k}, -\vec{k})$ pairing, which is proportional to $\cos(k_z)$, and η pairing $(\vec{k}, -\vec{k} + Q)$, which is proportional to $i\sin(k_z)$. For odd-parity order parameters, the two possible terms become $(\vec{k}, -\vec{k})$ pairing, which is proportional to $i\sin(k_z)$, and η , pairing $(\vec{k}, -\vec{k} + Q)$, which is proportional to $cos(k_z)$. Both terms can be in the same irreducible representations of D_{2d} .

For the 122 type, the situation is rather different because the 122 structure has a symmorphic space group I4/mmm with a point group D_{4h} centered in the middle of two NN Fe-As(Se) layers. The translation symmetry is specified by (1, 1, 0), (0, 1, 1), and (0, 1, 1). The space inversion and $\hat{\sigma}_h$ have identical characters in any one-dimensional IRs. A state with odd parity that belongs to one-dimensional IRs must have node lines on Fermi surfaces when $k_z = 0$. Therefore, we are only allowed to construct even-parity states. For the intralayer pairing, there are two different even-parity order parameters: One is constructed by $(\vec{k}, -\vec{k})$ pairing, and the other is constructed by $(\vec{k}, -\vec{k} + Q_3)$ pairing, where $Q_3 = (\pi, \pi, \pi)$, namely, η pairing. The difference between these two order parameters is that the latter breaks (1, 0, 1) and (0, 1, 1) translation symmetry. Now, if we consider the NN interlayer pairing, we can have two terms that are parity even and keep the translation symmetry: the normal pairing $(\vec{k}, -\vec{k})$, which is proportional to $\cos(k_z)$, and $(\vec{k}, -\vec{k} + Q)$ pairing, which is proportional to $i\sin(\tilde{k}_z)$. Here, we assume $Q = (\pi, \pi, 0)$. For the $(\vec{k}, -\vec{k} + Q_3) \eta$ pairing, there are also two terms in the NN interlayer pairing: η pairing $(\vec{k}, -\vec{k} + Q_3)$ proportional to $\cos(k_z)$ and $(\vec{k}, -\vec{k} + Q)$ pairing proportional to $\cos(k_z)$. Therefore, if the interlayer pairing is included, the superconducting state generally breaks the translation symmetry of the iron-square lattice.

IV. EFFECTIVE HAMILTONIAN AND HIDDEN SYMMETRY

The above symmetry analysis is based on the original lattice symmetry. As we mentioned above, an effective model based on d orbitals appears to have a different symmetry. In the past studies, we treated the model in a 1-Fe unit cell with a D_{4h} point group at iron sites. The treatment, in general, violated the basic spirit of the symmetry principle and might have resulted in fundamental errors. To fully respect the symmetry principle, we must understand the symmetry properties of the effective model under the original lattice symmetry.

We consider a general Hamiltonian in a single trilayer Fe-As(Se) structure coordinated by Fe and As(Se) atoms,

$$\hat{H} = \hat{H}_{dd} + \hat{H}_{dp} + \hat{H}_{pp} + \hat{H}_{I}, \tag{6}$$

where \hat{H}_{dd} , H_{dp} , and \hat{H}_{pp} describe the direct hopping between two *d* orbitals—the d - p hybridization between Fe and As(Se) and the direct hopping between two *p* orbitals, respectively. \hat{H}_I describes any standard interactions. Here, we do not need to specify the parameter details. This Hamiltonian has a full symmetry defined by the nonsymmorphic space group.

An effective Hamiltonian is obtained by integrating out p orbitals, which can be written as

$$\hat{H}_{\text{eff}} = \hat{H}_{dd,\text{eff}} + \hat{H}_{I,\text{eff}}.$$
(7)

The effective band structure can be written as $\hat{H}_{dd,\text{eff}} = \hat{H}_{dd} + \hat{H}_{dpd}$, where \hat{H}_{dpd} is the effective hopping induced through *d*-*p* hybridization. $\hat{H}_{dd,\text{eff}}$ has been obtained by many groups [8–10,24,25]. The major effective hopping terms in \hat{H}_{dpd} can be divided into two parts: $\hat{H}_{dpd,NN}$, which describes NN hopping, and $\hat{H}_{dpd,NNN}$, which describes NN hopping in the iron-square lattice. If one carefully checks the effective hopping parameters for t_{2g} orbitals in $\hat{H}_{dpd,NN}$, one finds that they have the opposite sign from what we normally expect in a natural gauge setting, as shown in Figs. 2(a) and 2(b) where the d_{xy} orbital is illustrated as an example. We see that the hopping parameter t_{dd} must be negative. However, the effective hopping parameter t_{dpd} is positive and even larger than



FIG. 2. The nearest-neighbor hopping parameters for intra- d_{xy} orbitals are shown in (a) and (b). t_{dd} is the amplitude of the direct hopping of d_{xy} orbitals, while t_{dpd} is the amplitude of the indirect hopping through p_z orbitals of As/Se atoms. The reason for the sign change in t_{dpd} between (a) and (b) is that the p_z orbitals in the top layer and the bottom layer form occupied bonding states in (a) and empty antibonding states in (b). The difference is illustrated by the filled and empty p_z orbitals in (a) and (b). (c) and (d) show the local p - d s-wave pairing pattern and a gauge transformation between them, which is defined by adding a minus sign to all p_z orbitals on the top As(Se) layer but not to those on the bottom layer.

 $|t_{dd}|$ in [8–10,24,25]. In a tetragonal lattice, t_{dpd} can only be generated through $d_{xy} - p_z$ hybridization. A positive value of t_{dpd} suggests that virtual hopping that generates t_{dpd} must go through an unoccupied p_z state. As shown in Figs. 2(a) and 2(b), a d_{xy} equally couples to p_z orbitals of top and bottom As atoms. A high-energy p_z state must be an antibonding p_z state between NN As atoms. This analysis is held for all t_{2g} orbitals that play the dominant role in low-energy physics. It is also easy to check that the effective NNN hoppings between t_{2g} orbitals are dominated by occupied p states, which are primarily bonding states of p



FIG. 3. (a) The calculated band structure of FeSe with the weight of p orbitals of Se. (b) The decomposed charge density of the band at Γ marked by the letter B (antibonding states). (c) The decomposed charge density of the band at M marked by the letter C (bonding states).

orbitals. Therefore, the NN effective hoppings are generated through $d - p_a$ hybridization, where p_a represents an antibonding *p*-orbital state and the NNN effective hoppings are generated through $d - p_b$ hybridization, where p_b is the bonding *p* state.

The above microscopic understanding is not surprising. In fact, it is known in LDA calculations [24,26,27] that p orbitals in As/Se are not fully occupied, and there is significant overlap between p orbitals on the bottom and top As/Se layers. Moreover, since $\hat{H}_{dpd,NN}$ and $\hat{H}_{dpd,NN}$ primarily affect hole pockets around Γ and electron pockets at M, respectively, we can check the distribution of antibonding p states and bonding p states in the band structure to further confirm the analysis. In Fig. 3(a), we plot the band structure of FeSe and the distribution of p orbitals. The p_z orbitals of Se are mainly at +1.5 eV at Γ and -3 eV at M. By analyzing the bands at Γ and M, as shown in Figs. 3(b) and 3(c), we confirm that the p_z orbitals of Se at Γ and M belong to antibonding and bonding states, respectively.

Knowing the above hidden microscopic origins in the derivation of an effective Hamiltonian allows us to understand the characters of the effective Hamiltonian in the original lattice symmetry.

The $d - p_a$ hybridization is odd under $\hat{\sigma}_h$, while the $d - p_b$ hybridization is even under $\hat{\sigma}_h$. Thus, the NN hopping $\hat{H}_{dpd,NN}$ and NNN hopping $\hat{H}_{dpd,NN}$ should be classified as odd and even under $\hat{\sigma}_h$, respectively. Namely,

$$\hat{\sigma}_{h}\hat{H}_{dpd,NN}\hat{\sigma}_{h} = -\hat{H}_{dpd,NN},$$

$$\hat{\sigma}_{h}\hat{H}_{dpd,NNN}\hat{\sigma}_{h} = \hat{H}_{dpd,NNN}.$$
(8)

The above hidden symmetry property is different than the main assumption taken in many weak-coupling approaches, which assume that the essential physics is driven by the interplay between hole pockets at Γ and electron pockets at *M* [5]. As indicated in Fig. 3(a), the interplay between the hole and electron pockets must be minimal because of their distinct microscopic origins.

V. GAUGE PRINCIPLE AND PARITY CONSERVATION

The symmetry difference in Eq. (8) has a fundamental impact on how we consider the parity of a superconducting state if superconducting pairing is driven by local d-p hybridization.

It has been shown that in a system where short-range pairings in real space dominate, superconducting order parameters are momentum dependent and a gauge principle must be satisfied because the phases of superconducting order parameters can be exchanged with those of the local hopping parameters [16,28] by gauge transformations. As an example, a *d*-wave superconducting state in cuprates can be mapped to an *s*-wave superconducting state by a gauge mapping that changes the hopping terms from *s*-type symmetry to *d*-type symmetry [29]. Therefore, only the combined symmetry of hopping terms and their associated pairing orders is gauge independent and can be used to classify superconducting states. Namely, the symmetry of a superconducting state is characterized by

Symmetry _{sc} =
$$[\hat{H}_{hopping}][\hat{\Delta}],$$
 (9)

where $[\hat{A}]$ indicates the symmetry of \hat{A} . This gauge principle does not exist in a conventional BCS-type superconductor in which the information of pairing in real space is irrelevant.

Now we apply the gauge principle and let $\hat{\Delta}_{NN}$ and $\hat{\Delta}_{NNN}$ be superconducting order operators associated with $\hat{H}_{dpd,NN}$ and $\hat{H}_{dpd,NN}$, respectively. In a superconducting state that belongs to a pure IR of the original lattice symmetry, we must have

$$[\hat{\Delta}_{\rm NN}][\hat{H}_{dpd,\rm NN}] = [\hat{\Delta}_{\rm NNN}][\hat{H}_{dpd,\rm NNN}]. \tag{10}$$

If we consider a superconducting state that conserves parity, following Eq. (8), we have

$$[\hat{\Delta}_{\rm NN}] = -[\hat{\Delta}_{\rm NNN}] \tag{11}$$

under $\hat{\sigma}_h$. Therefore, based on the classification of pairing symmetries in Eq. (5), a parity-conserved superconducting state must be a combination of normal pairing and η pairing. If $\hat{\Delta}_{NN}$ is a normal pairing, we immediately conclude that the state is parity odd, and the superconducting order $\langle \hat{\Delta}_{NNN} \rangle$ must be an η pairing.

The above analysis can be easily illustrated in real space. As shown in Fig. 4, if superconducting pairing is driven by local *d-p* hybridization, the superconducting order is a pairing between *d* and *p* orbitals, $\Delta_{dp} = \langle \hat{d}^+ \hat{p}^+ \rangle$.



FIG. 4. The NN and NNN p - d local pairing patterns with odd parity are shown in (a) and (b) in the natural gauge. Note that p orbitals of As/Se in (a) form the antibonding states, while those in (b) form the bonding states. We distinguish the two states with different filled green ovals in (a) and (b). The p - d pairings can be projected into effective d - d pairings, as shown in the bottom figures.

A uniform $\langle \hat{d}^+ \hat{p}_a^+ \rangle$ is parity odd. The NN pairing $\langle \hat{\Delta}_{NN} \rangle$ in the effective model must originate from $\langle \hat{d}^+ \hat{p}_a^+ \rangle$ and thus is also parity odd. The gauge principle can be understood as shown in Figs. 2(c) and 2(d). If we take a new gauge for fermion operators of p orbitals, $\hat{p} \rightarrow -\hat{p}$, in one of the two As(Se) layers, the antibonding operator \hat{p}_a maps to the bonding operator \hat{p}_b . This gauge mapping exactly transfers the parity between hopping terms and superconducting order parameters.

VI. MEAN-FIELD HAMILTONIAN FOR A PARITY-CONSERVED S-WAVE STATE

The above analysis can be generalized to all effective hoppings. The basic idea is to divide the iron-square lattice into two sublattices. In an odd-parity state, the pairing between two sublattices must be normal pairing, while the pairing within sublattices must be η pairing. In an even-parity state, the pairing between two sublattices must be η pairing, while the pairing within sublattices must be normal pairing. This means that the pairing between two sublattices must vanish in an even-parity state.

In all of the measured samples of iron-based superconductors, no universal node along the $\Gamma - M$ and $\Gamma - X$ directions on any of the Fermi surfaces was observed [30–39]. These experimental facts require that the superconducting state be in the A_1 IR of D_{2d} , namely, an *s* wave viewed at iron sites. Then, the remaining question is about the parity of the state.

In an even-parity *s*-wave state, the normal pairing between two sublattices must vanish. Therefore, the mean-field Hamiltonian for an even-parity *s*-wave state is

$$H^{e}_{mf} = H_{dd,\text{eff}} + \sum_{\alpha,\beta,k} (\delta^{e}_{\alpha\beta,n} \hat{\Delta}_{\alpha\beta,n}(\vec{k}) + \text{H.c.}), \quad (12)$$

where $\hat{\Delta}_{\alpha\beta,n} = \hat{d}_{\alpha\dagger}(\vec{k})\hat{d}_{\beta\downarrow}(-\vec{k}) - d_{\alpha\downarrow}(\vec{k})\hat{d}_{\beta\uparrow}(-\vec{k})$ and α , β label the orbital. In general, the normal pairing order parameters must satisfy

$$\delta^{e}_{\alpha\beta,n}(\vec{k}) = \delta^{e}_{\alpha\beta,n}(\vec{k}+Q).$$
(13)

All superconducting states derived previously from weakcoupling approaches were considered as even-parity states [5,8,9,40–43]. However, as a normal pairing between two sublattices is included, the parity is not conserved. In strong-coupling models [44–47], the superconducting order derived from an NNN antiferromagnetic exchange coupling J_2 , which is a normal pairing within sublattices, satisfies Eq. (13). Therefore, if superconductivity only originates from J_2 , the proposed state is an even-parity *s*-wave state, namely, the A_{1g} *s* wave.

This state provides a good understanding of superconducting gaps in iron-based superconductors. However, it cannot explain dual-symmetry characters with both the *s*-wave and *d*-wave types observed in the superconducting state, for example, the spin relaxation $\frac{1}{T_1T}$ measured by nuclear magnetic resonance (NMR). A coherent peak around T_c is expected in a full-gap s-wave state even if it is s^{\pm} [5,48]. Experimentally, in an extremely clean sample where the exponential temperature dependence was measured, no coherent peak was observed at T_c [49]. For ironchalcogenides [19-22], this state is highly questionable because of the absence of a sign change on Fermi surfaces, so it is hard to explain the possible sign-change evidence from neutron scattering [50]. It is also worth mentioning that many weak-coupling methods suggest that the superconducting state in iron-chalcogenides is a d wave with normal pairing [18,51,52]. This state is not consistent with experimental results showing the absence of nodes on high-symmetry lines [37-39] and the presence of strong ferromagnetic NN exchange coupling [53,54]. Nevertheless, this *d*-wave state should be considered as an odd-parity state in the original lattice symmetry because it only includes normal pairing between two sublattices.

A mean-field Hamiltonian to describe the odd-parity *s*-wave state in a 1-Fe unit cell (A_{1u} *s* wave) can be generally written as

$$H_{mf}^{o} = H_{dd,\text{eff}} + \sum_{\alpha,\beta,k} (\delta_{\alpha\beta,n}^{o} \hat{\Delta}_{\alpha\beta,n}(\vec{k}) + \delta_{\alpha\beta,\eta}^{o} \hat{\Delta}_{\alpha\beta,\eta}(\vec{k}) + \text{H.c.}),$$
(14)

where $\hat{\Delta}_{\alpha\beta,\eta} = \hat{d}_{\alpha\uparrow}(\vec{k})\hat{d}_{\beta\downarrow}(-\vec{k}+Q) - \hat{d}_{\alpha\downarrow}(\vec{k})\hat{d}_{\beta\uparrow}(-\vec{k}+Q)$. In general, the normal- and η -pairing order parameters satisfy

$$\delta^{o}_{\alpha\beta,n}(\vec{k}) = -\delta^{o}_{\alpha\beta,n}(\vec{k}+Q), \qquad (15)$$

$$\delta^{o}_{\alpha\beta,\eta}(\vec{k}) = \delta^{o}_{\alpha\beta,\eta}(\vec{k}+Q).$$
(16)

These equations capture the sign change of superconducting order parameters in momentum space. The sign change here is required by odd-parity symmetry.

While detailed studies will be carried out in the future, the mean-field Hamiltonian captures superconducting gaps in both iron-pnictides and iron-chalcogenides. As the interorbital pairing can be ignored for *s*-wave pairing and the pairing is dominated by NN and NNN pairings, the important parameters are

$$\delta^o_{\alpha\alpha,n} \propto \cos k_x + \cos k_y, \qquad \delta^o_{\alpha\alpha,\eta} \propto \cos k_x \cos k_y.$$
 (17)

Thus, the superconducting gaps on hole pockets are mainly determined by $\delta^o_{\alpha\alpha,n}$, and those on electron pockets are mainly determined by $\delta^o_{\alpha\alpha,\eta}$.

In the odd-parity *s*-wave state, there is no symmetryprotected node. However, accidental nodes can easily appear. In Fig. 5, we plot numerical results for two cases. Parameters are specified in the caption of the figure. The superconducting gap in the first case is a full gap, while it has gapless nodes on electron pockets in the second case. This may provide an explanation as to why gapless excitations were observed in some materials [5]. The detailed studies will be reported in the future.

The odd-parity *s*-wave state also explains the dualsymmetry character of both the *s*-wave and *d*-wave types



FIG. 5. The Fermi surfaces of a five-orbital model from [25] are shown in (a) and (b). The forms of the hopping terms and hopping parameters can be found in [25]. Here, we only add a chemical potential to tune the Fermi level. We set $\mu = 0.1$ and -0.04 in (a) and (b). We define the order parameters in Eq. (16) as $\delta^o_{11,n} = \frac{1}{2}(\Delta^N_{11,x}\cos k_x + \Delta^N_{11,y}\cos k_y)$, $\delta^o_{22,n} = \frac{1}{2}(\Delta^N_{11,x}\cos k_y + \Delta^N_{11,y}\cos k_x)$, $\delta^o_{\alpha\alpha,\eta} = \Delta^{NN}_{\alpha\alpha}\cos k_x \cos k_y$. The high-symmetry points are shown in (a), and the pairing channels connecting the points on the Fermi surface are denoted by the black lines with arrows. The quasiparticle spectra of the superconductive states for (a) and (b) are shown in (c) and (d). We find that in (c) the spectrum is fully gaped, and (d) has nodes at the electron pockets. The superconducting order parameters are chosen as follows: $\Delta^N_{11,x} = \Delta^N_{11,y} = 0.05$, $\Delta^N_{44} = -0.1$.

in iron-based superconductors. The η -pairing *s*-wave order in D_{2d} essentially is a *d*-wave type order according to C_{4v} , as shown in Fig. 4. For a *d*-wave pairing symmetry, the vanishing of the coherence factor is required by symmetry. Thus, current NMR results really support the odd-parity state.

The Hamiltonian in Eq. (14) cannot be reduced to a translationally invariant Hamiltonian in a 1-Fe unit cell. The 2-Fe unit cell becomes intrinsic. Unique features related to the 2-Fe unit cell should be observed and studied [55]. A detailed study will be carried out in the future.

In summary, we provide the mean-field Hamiltonian for parity-conserved superconducting states. Parity conservation was seriously violated in the past studies. Comparing odd- and even-parity *s*-wave states, we show that the oddparity *s*-wave state can naturally explain many intriguing properties of iron-based superconductors.

VII. SIGNATURE OF THE ODD-PARITY SUPERCONDUCTING STATE

The fingerprint of the odd-parity state is the negative isospin of $\hat{\sigma}_h$, which indicates the sign change of order



FIG. 6. Sketch of a real-space sign distribution along the *c* axis for an η -pairing state in (a) an 11-type structure, (b) a 122-type structure with an odd number of layers, and (c) a 122-type structure with an even number of layers.

parameters between the top and bottom As(Se) layers. This property was first revealed in the recent constructed effective S_4 symmetry model based on the 2-Fe unit cell [16,29,56]. However, as the S_4 model is a simplified effective model based on two effective orbitals, the parity characters were not revealed.

The odd parity indicates that a superconducting single Fe-As(As) trilayer is a π junction along the *c* axis, which can be measured in a single-crystal material, as shown in Fig. 6: A uniform superconducting state is characterized by a sign change between the top and bottom surfaces along the *c* axis in the 11-type structure, while in the 122-type structure, the sign change is only present when the number of layers is odd.

VIII. DISCUSSION

In the history of condensed-matter physics, a new quantum state of condensed matter is not easily obtained by solving a model. Here, we use fundamental principles to show that an odd-parity state can naturally occur in ironbased superconductors, and we suggest smoking-gun experiments to detect or falsify this occurrence. With the microscopic understanding proposed here, detection of the odd-parity state can have a tremendous impact on high- T_c mechanisms for iron-based superconductors and other high- T_c superconductors.

In the past five years, much research based on effective models suggests that pairing symmetries in iron-based superconductors are very fragile. Those studies essentially suggest that principles to understand the robustness of superconductivity and pairing symmetry are still missing in our standard approach. The results in this paper demonstrate that previous studies did not correctly take parity conservation and hidden symmetry in effective models into account and mishandled symmetry and gauge principles. In the past, the effective Hamiltonian was viewed in the symmetry group D_{4h} at iron sites rather than the original lattice symmetry G. We note that if $\hat{\sigma}_h$ is set to 1, G is equivalent to D_{4h} . However, because of the antibonding p-orbital states, the effective Hamiltonian does not represent the correct symmetry of the original lattice in a natural gauge setting. It will be interesting to see how the missing pieces can be properly implemented in our standard methods.

Our results suggest that the gauge principle needs to be properly implemented when we derive the effective Hamiltonian in order to simplify a complex system. The correct physics can only be understood after the hidden gauge is revealed. For an order parameter that is momentum dependent, this gauge information is critical. The gauge principle becomes very important for us to search for new physics in other complex electron systems.

The odd-parity state also suggests the importance of correlated electron physics. It is believed that sign-change superconducting order is inevitable in a superconducting state of strongly correlated electron systems because of the existence of strong repulsive interactions. This principle is violated in a parity-even *s*-wave state. A measurement of the parity-odd *s*-wave state can provide strong support for this principle.

The odd-parity *s*-wave state closely resembles the *d*-wave state in a Cu-O plane of cuprates. We expect that there must be an identical mechanism to select sign-changed superconducting order in both materials. From Fig. 4(b), one can see that the η -pairing part in the odd-parity state can be viewed as two *d*-wave states formed in two sublattices, a direct analogy to the *d* wave in cuprates.

This study opens a promising new direction for the research in iron-based superconductors and suggests that the physics in these materials is deeper and much more inspiring than what we realized before. This research leaves us with many unanswered questions. Some clarifications are in order. First, what is the relationship between magnetism and superconductivity? One can see that the collinear antiferromagnetic (C-AFM) state [57] observed in iron-pnictides has odd parity. This characteristic may partially answer why superconductivity and C-AFM order can coexist in the phase diagram. Second, what are the other unique properties in an odd-parity s-wave state? It is known that an odd-parity p-wave state displays many unique properties. Third, what is the relationship between nematism and superconductivity? Nematism breaks rotational symmetry and is observed at high temperatures [58]. Fourth, how robust is an odd-parity state in response to impurity? This study points out that there are three possible scenarios related to parity in superconducting states: even, odd, or broken. Experiments proposed here will finally nail down the truth. All of the previous studies took even parity for granted without knowing that the parity was actually broken in the proposed states. However, a parity-breaking superconducting state is also an interesting state to explore.

In summary, using symmetry and gauge principles, we showed that iron-based superconductors are unified into an odd-parity *s*-wave superconducting state. Provided that the essential physics is through the d-p hybridization, we show that in an effective model based on d orbitals, superconducting states studied in the past violate parity conservation. The

existence of the odd-parity state can have a tremendous impact on high- T_c superconducting mechanisms.

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